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| APPLICATION NO. | FI | LING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|---|------------|------------|----------------------|--------------------------|--------------------------|--|
| 09/894,044 | 06/28/2001 | | Martin C. Boire | BOI-1CIP | 6168 | |
| 20808 | 7590 | 03/25/2003 | | | | |
| BROWN & | | • | EXAMINER | | | |
| 400 M & T BANK BUILDING 118 NORTH TIOGA ST | | | | MILLER, WILLIAM | | |
| ITHACA, NY 14850 | | | | ART UNIT | PAPER NUMBER | |
| | | | | 3677 | | |
| | | | | DATE MAIL ED. 02/25/2002 | DATE MAIL ED: 02/25/2002 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Application No. | Applicant(s) | | | | |
|---|--|--|--|--|--|--|--|
| | | 09/894,044 | BOIRE ET AL. | | | | |
| | Office Action Summary | Examiner | Art Unit | | | | |
| | | William L. Miller | 3677 | | | | |
| Period fo | The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | |
| THE I - Exter after - if the - if NC - Failu - Any | ORTENED STATUTORY PERIOD FOR REPLY MAILING DATE OF THIS COMMUNICATION. Insions of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. In period for reply specified above is less than thirty (30) days, a reply operiod for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ad patent term adjustment. See 37 CFR 1.704(b). | 36(a). In no event, however, may a reply be timed within the statutory minimum of thirty (30) day, will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE | nely filed s will be considered timely. the mailing date of this communication. D (35 U.S.C. § 133). | | | | |
| 1)⊠ | Responsive to communication(s) filed on 14 J | anuary 2003 . | | | | | |
| 2a) <u></u> | · · · · · · · · · · · · · · · · · · · | is action is non-final. | | | | | |
| 3) | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | | |
| Dispositi | on of Claims | | | | | | |
| 4)[| Claim(s) $1-34$ is/are pending in the application | | | | | | |
| | 4a) Of the above claim(s) 1-32 and 34 is/are withdrawn from consideration. | | | | | | |
| 5) | Claim(s) is/are allowed. | | | | | | |
| 6)⊠ | Claim(s) <u>33</u> is/are rejected. | | | | | | |
| 7) | Claim(s) is/are objected to. | laim(s) is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and/or election requirement. Application Papers | | | | | | | |
| 9)🛛 . | The specification is objected to by the Examiner | • • | • | | | | |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner. | | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | |
| 11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved by the Examiner. | | | | | | | |
| If approved, corrected drawings are required in reply to this Office action. | | | | | | | |
| 12) The oath or declaration is objected to by the Examiner. | | | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | | |
| 13) | 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | |
| a)[| All b) Some * c) None of: | | | | | | |
| | 1. Certified copies of the priority documents | s have been received. | | | | | |
| | 2. Certified copies of the priority documents | s have been received in Application | on No | | | | |
| 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. | | | | | | | |
| 14) 🗌 A | cknowledgment is made of a claim for domestic | priority under 35 U.S.C. § 119(e | e) (to a provisional application). | | | | |
| a) ☐ The translation of the foreign language provisional application has been received. 15)☑ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. | | | | | | | |
| Attachmen | · · | | | | | | |
| 2) Notic | e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) nation Disclosure Statement(s) (PTO-1449) Paper No(s) <u>4</u> . | 5) Notice of Informal F | (PTO-413) Paper No(s) Patent Application (PTO-152) | | | | |
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DETAILED ACTION

Election/Restrictions

- 1. Applicant's election with traverse of Group XIII (Fig. 14) in Paper No. 9 is acknowledged. The traversal is on the ground(s) that the examiner has not addressed the two criteria for a proper restriction requirement between patentably distinct inventions. Specifically, the applicant argues the examiner has 1) failed to demonstrate the inventions are distinct as claimed and 2) failed to demonstrate there is a serious burden on the examiner. The examiner agrees the above criteria has not been applied, however the above criteria is required for a proper restriction requirement, not for a proper election of species requirement. Thus, the election of species requirement is still deemed proper and is therefore made FINAL.
- 2. Further, the applicant has indicated claims 1-28 and 32-33 read on elected Group XIII (Fig. 14). However, the Fig. 14 device does not include a saddle stem recited in independent claims 1, 29, and 32. Thus, only claim 33 reads on the elected species. Consequently, claims 1-32 and 34 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected species, there being no allowable generic or linking claim.

Priority

3. If applicant desires priority under 35 U.S.C. 120 based upon a previously filed application, specific reference to the earlier filed application must be made in the instant application. For benefit claims under 35 U.S.C. 120, 121 or 365(c), the reference must include the relationship (i.e., continuation, divisional, or continuation-in-part) of the applications. This should appear as the first sentence of the specification following the title, preferably as a separate paragraph unless it appears in an application data sheet. The status of nonprovisional parent

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application(s) (whether patented or abandoned) should also be included. If a parent application has become a patent, the expression "now Patent No. _____" should follow the filing date of the parent application. If a parent application has become abandoned, the expression "now abandoned" should follow the filing date of the parent application.

Information Disclosure Statement

- 4. The information disclosure statement filed 06-28-2001 fails to comply in part with 37 CFR 1.98(a)(3) because it does not include a concise explanation of the relevance, as it is presently understood by the individual designated in 37 CFR 1.56(c) most knowledgeable about the content of the information, of each patent listed that is not in the English language. Specifically, there is no explanation of relevance for German #633468 and therefore it has not been considered. All other cited references are in compliance with 37 CFR 1.97-1.98 and have been considered.
- 5. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609 ¶ C(1).

Specification

6. Applicant is reminded of the proper language and format for an abstract of the disclosure.

The form and legal phraseology often used in patent claims, such as "means", should be avoided.

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Claim Rejections - 35 USC § 102

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

- 8. Claim 33 is rejected under 35 U.S.C. 102(b) as being anticipated by Clement (US#4844000).
- 9. Clement discloses a plastic labeling device 10 comprising a sign plate 16 and an integral saddle fitting 14. Since Clement discloses the labeling device as being formed of plastic, it appears inherent the sign plate and saddle fitting are integrally molded together, however if the molding process is not inherent, the applicant is reminded method limitations (such as molding) are given little patentable weight in an article claim as the patentability of a product does not depend on its method of production. See MPEP 2113.

Conclusion

10. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. See PTO-892.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to William L. Miller whose telephone number is 703 305 3978. The examiner can normally be reached on Monday-Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, J. J. Swann can be reached on 703 306 4115. The fax phone numbers for the

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organization where this application or proceeding is assigned are 703 872 9326 for regular communications and 703 872 9327 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703 308 1113.

William L. Miller Primary Examiner Art Unit 3677

wlm March 18, 2003